

# Topic 19 - Genetic Algorithms (continued)

Monday, May 9

(1)

numpy

## Announcements:

- HW 5 due today by midnight
- Final assigned today, due midnight Mon, May 16
- Office Hours:

Tues	5/10	1pm-2pm	} in my office, on Mon can have Teams open if desired
Wed	5/11	2pm-3pm	
Mon	5/16	10am-11am	

## Pseudocode:

pop = [n random solutions]

while True:

best = best solution we've ever seen

next\_gen = []

while len(next\_gen) < len(pop):

select two parents  $P_1$  and  $P_2$  in pop (how?)

perform crossover on  $P_1$  and  $P_2$  (how?)

to get some children

allow each child to mutate with (how?)

some probability

add the children to next\_gen

pop = next-gen

Crossover:

Ex: Knapsack

You can think about a solution as a vector of booleans (T/F) that tell you whether each item is in or out.

$S = [T \quad T \quad F \quad T \quad F \quad F \quad F \quad T]$

$\Rightarrow$  items 0, 1, 3, 7 are in.

Suppose we have two solutions:

$S_1 = [T \quad T \quad F \quad T \quad F \quad F \quad F \quad T]$

$S_2 = [T \quad F \quad T \quad T \quad F \quad F \quad F \quad F]$

How can we crossover to create one or more children?

\* One-point crossover: Pick a random place in the vector and swap the blocks after.

$C_1 = [T \quad T \quad F \quad T \quad F \quad F \quad F \quad F]$   
 $C_2 = [T \quad F \quad T \quad T \quad F \quad F \quad T \quad T]$

(you're going to want a penalized scoring

function, because these may violate constraints.)

\* Two-point crossover: Pick two points, swap in the middle

$$\begin{array}{l} C_1 = [T \ T] \left\{ \begin{array}{l} T \ T \ F \\ F \ T \ F \end{array} \right. \left\{ \begin{array}{l} F \ F \ T \\ F \ F \ F \end{array} \right. \\ C_2 = [T \ F] \left\{ \begin{array}{l} T \ T \ F \\ F \ T \ F \end{array} \right. \left\{ \begin{array}{l} F \ F \ T \\ F \ F \ F \end{array} \right. \end{array}$$

\* Form one child by flipping a coin for each item.

$$\begin{array}{l} S_1 = [T \ T \ (F) \ T \ (F) \ F \ (F) \ T] \\ S_2 = [(T) \ (F) \ T \ T \ F \ (F) \ F \ (F)] \end{array}$$

$$C = [T \ F \ F \ T \ F \ F \ F \ F]$$

Can be repeated many times with same parents.

Which is best? Depends on the problem.  
Knapsack  $\rightarrow$  the order of the items doesn't mean anything  
one and two point crossover have a

bias that the third option does not

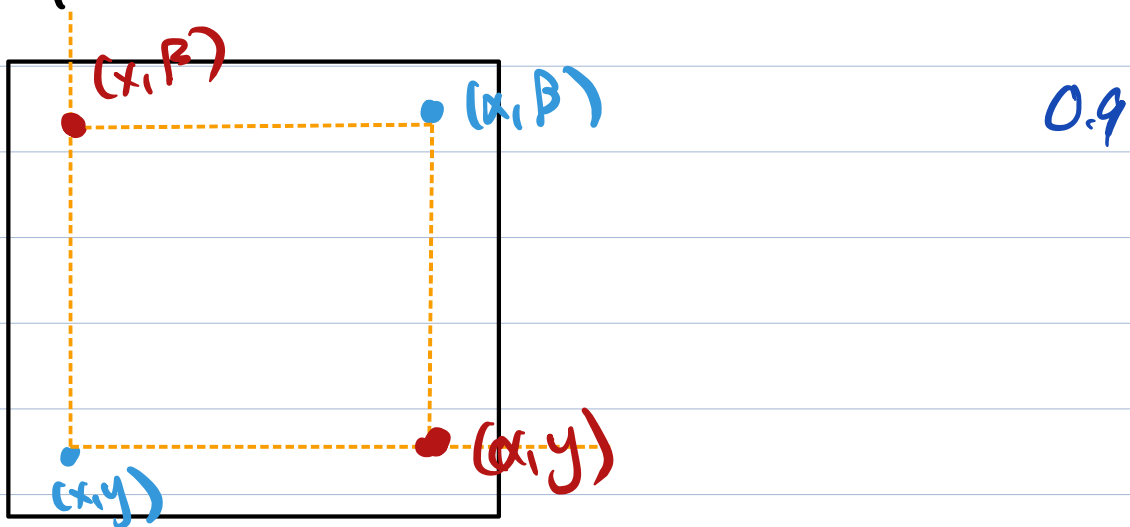
How about for continuous functions?

In 2D, a solution is a pair of real #'s  $(x, y)$ .

$$S_1 = (x, y) \quad S_2 = (\alpha, \beta)$$

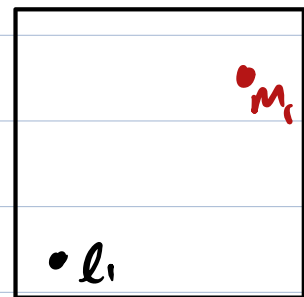
$$C_1 = (x, \beta) \quad C_2 = (\alpha, y)$$

Does it make sense that the children could "inherit" good qualities from their parents? No.



Blue Lights Problem:

$$S_1 = [l_1, l_2, \dots, l_{10}]$$
$$S_2 = [m_1, m_2, \dots, m_{10}]$$



Flip a coin for each one:

$$C_1 = [l_1, l_2, m_3, l_4, l_5, m_6, m_7, m_8, l_9, l_{10}]$$

Mutation:

After getting children from crossover, you want each child to have a chance at mutating into something better or worse.

Option 1: With some fixed probability (~20%) mutate each child by doing a tweak.

Option 2: Think about the components that make up a solution ("chromosomes")  
Give each individual chromosome the chance to mutate with a small prob:

$$\frac{1}{\# \text{ of chromosomes}}$$

Ex: Knapsack:

$S_1 = [T \ T \ (F) \ T \ (F) \ F \ (F) \ T]$

$S_2 = [(T) \ (F) \ T \ (T) \ F \ (F) \ F \ (F)]$

$C = [T \ F \ F \ T \ F \ F \ F \ F]$

$C' = [T \ T \ F \ F \ F \ F \ F \ F]$

with  
prob  $\frac{1}{8}$ ,  
change  
each

## Selection Methods:

How do we choose pairs of parents to crossover?

(1) Randomly pick 2 different parents, but leave them in the pool for future pairings.

(2) Fitness Proportionate Selection /  
Roulette Selection

Select at random, but not with equal prob. Set the probs based on fitness score.

### (3) Stochastic Universal Sampling

### (4) Tournament Selection

(2) and (3) have the problem that they're very dependent on precise numerical values for the scores.

Ex: Three parents with scores  
99.98, 99.99, 100.0

T.S. picks based on relative ranking